

RESEARCH ARTICLE

Chiral universality class of normal-superconducting and exciton condensation transitions on surface of topological insulator

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New two-dimensional systems such as the surfaces of topological insulators (TIs) and graphene offer the possibility of experimentally investigating situations considered exotic just a decade ago. These situations include the quantum phase transition of the chiral type in electronic systems with a relativistic spectrum. Phonon-mediated (conventional) pairing in the Dirac semimetal appearing on the surface of a TI causes a transition into a chiral superconducting state, and exciton condensation in these gapless systems has long been envisioned in the physics of narrow-band semiconductors. Starting from the microscopic Dirac Hamiltonian with local attraction or repulsion, the Bardeen–Cooper–Schrieffer type of Gaussian approximation is developed in the framework of functional integrals. It is shown that owing to an ultrarelativistic dispersion relation, there is a quantum critical point governing the zero-temperature transition to a superconducting state or the exciton condensed state. Quantum transitions having critical exponents differ greatly from conventional ones and belong to the chiral universality class. We discuss the application of these results to recent experiments in which surface superconductivity was found in TIs and estimate the feasibility of phonon pairing.

Keywords topological insulator, Weyl semimetal, superconductivity, quantum criticality

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1 Introduction

A topological insulator (TI) is a novel state of matter in materials with strong spin–orbit interactions that creates topologically protected surface states [1–3]. The electrons (holes) in these states have a linear dispersion relation (see Fig. 1) and can be described approximately by a (pseudo) relativistic two-dimensional (2D) Hamiltonian. The system realizes an ultrarelativistic 2D electron- or hole-conducting liquid, along with the much better studied graphene [4, 5], a 2D one-layer sheet of carbon atoms that became a paradigmatic example of a Dirac semimetal. In the context of graphene, certain quantum phase transitions have been theoretically contemplated. Superconductivity in graphene has been repeatedly considered [6–9]; however, despite great experimental efforts, it was never achieved. The 2D phonons seem to be unable to overcome strong Coulomb repulsion

to create a Cooper pair. The same can be said about attempts to achieve exciton condensation in graphene, which was proposed [10–13] even before its discovery. Apparently, the repulsion is not strong enough to create stable electron–hole bound states either [14–17]. The surfaces of TIs therefore became a prime candidate for realizing quantum transitions.

It has long been known that similar 2D and quasi-2D metallic systems like a surface metal on twin planes [18] and layered materials (strongly anisotropic high- T_c cuprates [19, 20] or organic superconductors [21]) may develop 2D (surface) superconductivity. This phenomenon became known as localized superconductivity [22]. Because the best-studied TIs possess a quite standard phonon spectrum [23–25], it was predicted recently [26–30] that they become superconducting. The predicted critical temperature, on the order of 1 K, is rather low, despite a fortunate suppression of the Coulomb repulsion due to a large dielectric constant $\varepsilon \sim 50$. The

“normal” state (the so-called 2D Weyl semimetal) might yield unusual superconducting properties. The ultrarelativistic nature manifests itself mostly when the Weyl cone is very close to the Fermi surface. Especially interesting is the case in which the chemical potential coincides with the Dirac point (which was originally predicted for the [111] surface of Bi_2Te_3 and Bi_2Se_3 [31]). Although subsequent angle-resolved photoemission spectroscopy experiments [1–3] showed the cone of surface states to be located on the order of tenths of electron volts away from the Fermi surface, there are experimental means to shift the chemical potential, for example, by applying a bias voltage [32, 33].

Unlike the more customary poor 2D metals with several small pockets of electrons/holes on the Fermi surface (in semiconductor systems or even some high- T_c materials [19, 20]), the electron gas TI has two peculiarities that are especially important when pairing is contemplated. The first is the bipolar nature of the Dirac spectrum: there is no energy gap between the upper and lower cones. The second is that the spin degree of freedom plays a major role in the quasiparticle dynamics. This degree of freedom determines the pairing channel. The pairing channel problem was studied theoretically on the level of the Bogoliubov–de Gennes equation [34]. Both s waves and p waves are possible, and they compete because the bulk inversion symmetry is broken by the surface. Various pairing interactions were considered to calculate the density of states measured in $\text{Cu}_x\text{Bi}_2\text{Se}_3$ using self-consistent analysis [35]. As mentioned above, the most intriguing case is that of a small chemical potential, which has not been addressed microscopically. It has been found to be governed by a quantum critical point (QCP) [36].

The concept of the QCP at zero temperature and various doping levels constitutes a very useful language for describing the microscopic origin of superconductivity in high- T_c cuprates and other unconventional superconductors [19, 20]. Superconducting transitions generally belong to the $U(1)$ class of second-order phase transitions [37, 38]; however, it was pointed out long ago [39, 40] that, if the normal state dispersion relation is ultrarelativistic, the transition at zero temperature as a function of parameters such as the pairing interaction strength is qualitatively distinct and belongs to the chiral universality classes classified in Refs. [41, 42]. The term “chiral” appears following the corresponding discussion of the chiral-symmetry-breaking transition in quantum chromodynamics, which has been well studied both theoretically and experimentally. Attempts to experimentally identify second-order transitions governed by the QCP in condensed matter included quantum magnets

[36], superconductor–insulator transitions [43], and more recently exciton condensation in graphene [4, 5, 44] and other Dirac semimetals, including TIs. In the last two cases, the broken symmetry is also often termed “chiral”.

Exciton condensation is a very old concept in low-dimensional narrow-gap semiconductor physics. The best-studied exciton condensate is the quantum Hall bilayer at half-filled Landau levels [45–51]. Here, ingenious methods have been developed to separately contact the two layers so that one can directly probe the order parameter via counterflow superfluidity along the layers and tunneling between the layers. The same idea was extended to bilayer graphene and recently to TI [52–55].

In addition, a Dirac semimetal was realized in a cold atom system [56] (following the realization in 2D known as synthetic graphene). Interestingly, the sign and strength of the interaction can be controlled. The Dirac semimetal in optically trapped cold atom systems [56] is well suited to studying this fascinating phenomenon, offering a well-controlled system in which this phenomenon occurs both for repulsive interaction (chiral symmetry breaking) and attractive interaction (superconductivity).

In this paper, the quantum phase transitions in a Dirac semimetal due to local interactions, both attractive (superconductivity) and repulsive (exciton condensation), are studied, with an emphasis on their distinct criticality. The critical exponents belong to chiral universality classes that are identified.

In Section 2, we present the general framework, which makes it possible to study surface superconductivity and exciton condensation in a general Dirac semimetal (a TI, not necessarily time-reversal and reflection invariant, or another of the numerous systems identified recently) with a general local interaction. The local coupling strength g , chemical potential μ , and temperature T will be kept general (g is negative for repulsion, leading to exciton condensation, or positive, leading to superconductivity). Because the symmetry analysis is crucial, we first discuss the space and spin rotations. In Section 3, we concentrate on the simplest time-reversal- and reflection-invariant Dirac model and identify its spontaneous symmetry-breaking patterns. In Section 4, the phase diagram for $g > 0$ is obtained for an arbitrary temperature T and a chemical potential much smaller than the Debye energy T_D . The latter condition is the main difference from the conventional Bardeen–Cooper–Schrieffer (BCS) model in which $\mu \gg T_D$. A QCP appears at $T = \mu = 0$ when the coupling strength g reaches a critical value g_c depending on the cutoff parameter T_D . We concentrate on the properties of the superconducting state in a part of the phase diagram that is dominated

by the QCP. Various critical exponents are obtained. In particular, the coupling strength dependence of the coherence length is $\xi \propto (g - g_c)^{-\nu}$, where $\nu = 1$, and the order parameter scales as $\Delta \propto (g - g_c)^\beta$, $\beta = 1$. For repulsion, a similar transition occurs in the exciton channel, as described in Section 5. The critical exponents beyond the mean field and the experimental feasibility of superconductivity are discussed in Section 6.

2 Generalized mean-field approximation for local four-Fermi interactions

2.1 Hamiltonian and partition function

We consider the second quantized electron Hamiltonian via four-Fermi local coupling of strength g :

$$H = \int d^2r \psi_\alpha^\dagger(\mathbf{r}) K_{\alpha\beta} \psi_\beta(\mathbf{r}) - \frac{g}{2} \psi_\alpha^\dagger(\mathbf{r}) \psi_\beta^\dagger(\mathbf{r}) \psi_\beta(\mathbf{r}) \psi_\alpha(\mathbf{r});$$

$$K_{\alpha\beta}(\nabla) = \mathcal{E}_{\alpha\beta}(\nabla) - \mu \delta_{\alpha\beta}, \quad (1)$$

where the space is two dimensional, $\mathbf{r} = \{x, y\}$, and μ is the chemical potential. The precise definition of the relevant single electronic excitations, $\mathcal{E}_{\alpha\beta}(\nabla)$, will depend on the specific model considered and is specified below. The index α of the spinors ψ refers to the valley/spin degrees of freedom. The partition function is

$$Z = \text{tre}^{-H/T} = \int D\psi^+ D\psi e^{-S[\psi^+, \psi]}, \quad (2)$$

with a measure defined by the independent Grassmann variables $D\psi^+ = \prod_\alpha d\psi_\alpha^+$, $D\psi = \prod_\alpha d\psi_\alpha$. The Matsubara action is given by

$$S[\psi^+, \psi] = \int_0^{1/T} d\tau \int_r \psi_\alpha^+(\tau, \mathbf{r}) (\partial_\tau + K_{\alpha\beta}) \psi_\beta(\tau, \mathbf{r})$$

$$- \frac{g}{2} \psi_\alpha^+(\tau, \mathbf{r}) \psi_\beta^+(\tau, \mathbf{r}) \psi_\beta(\tau, \mathbf{r}) \psi_\alpha(\tau, \mathbf{r}), \quad (3)$$

with the anti-periodic conditions

$$\psi_\alpha^+(\tau, \mathbf{r}) = -\psi_\alpha^+(\tau + 1/T, \mathbf{r}),$$

$$\psi_\alpha(\tau, \mathbf{r}) = -\psi_\alpha(\tau + 1/T, \mathbf{r}). \quad (4)$$

The local interaction term is not the most general one, but generalization to more exotic local cases (inter-valley [57] exchange spin-spin coupling [58]) is quite straightforward.

The normal and anomalous Green's functions (consistent with the definition in Refs. [59, 60]) are

$$\langle T \psi_\alpha(X) \psi_\beta^+(X') \rangle = -G_{\alpha\beta}(X; X'),$$

$$\langle T \psi_\alpha(X) \psi_\beta(X') \rangle = F_{\alpha\beta}(X; X'),$$

$$\langle T \psi_\alpha^+(X) \psi_\beta^+(X') \rangle = F_{\alpha\beta}^+(X; X'), \quad (5)$$

where $X = (\mathbf{r}, \tau)$, and $X' = (\mathbf{r}', \tau')$. For simplicity, we denote $\langle \psi_\alpha(X) \rangle$ as $\psi_\alpha(X)$ and drop the time-ordering operation T in the correlators. For example, $\langle \psi_\alpha(X) \psi_\beta(X') \rangle$ denotes $\langle T \psi_\alpha(X) \psi_\beta(X') \rangle$. Their expressions via a partition function [Eq. (3)] are given in Appendix A.

2.2 Space and spin rotation symmetries

In general, a system may be invariant under both space rotation and spin rotation separately. Certain valley symmetries are generally present in Weyl semimetals, and the action is typically invariant only under combined space rotation and spin/valley rotation. The space rotation, $\mathbf{r}' = \Lambda \mathbf{r}$, acts on a generalized spinor field as

$$\psi'_\alpha(\mathbf{r}', \tau) = S(\Lambda)_{\alpha\alpha'} \psi_{\alpha'}(\mathbf{r}, \tau),$$

$$\psi'^+_{\beta'}(\mathbf{r}', \tau) = \psi^+_{\beta'}(\mathbf{r}, \tau) S(\Lambda)^\dagger_{\beta'\beta}. \quad (6)$$

Using the invariance of the action under the transformation, the correlators satisfy

$$G_{\alpha\beta}(X_1, X_2) = S(\Lambda)_{\alpha\alpha'} G_{\alpha'\beta'}(X_1, X_2) S(\Lambda)^\dagger_{\beta'\beta},$$

$$F_{\alpha\beta}(X_1, X_2) = S(\Lambda)_{\alpha\alpha'} F_{\alpha'\beta'}(X_1, X_2) S(\Lambda)^\dagger_{\beta'\beta}, \quad (7)$$

or, in matrix form,

$$G(X_1, X_2) = S(\Lambda) G(X_1, X_2) S(\Lambda)^\dagger,$$

$$F(X_1, X_2) = S(\Lambda) F(X_1, X_2) S(\Lambda)^\dagger. \quad (8)$$

Assuming that the ground state is homogeneous, $G(X, X) \equiv G_c$ and $F(X, X) \equiv g^{-1} \Delta$ are constant matrices satisfying

$$G_c = S(\Lambda) G_c S(\Lambda)^\dagger, \Delta = S(\Lambda) \Delta S(\Lambda)^\dagger. \quad (9)$$

Let Σ be the generator of $S(\Lambda)$. Then

$$[\Sigma, G_c] = 0, \quad \Sigma \Delta + \Delta \Sigma^\dagger = 0. \quad (10)$$

G_c and Δ also satisfy the following equations:

$$G_c^+ = G_c, \quad \Delta^\dagger = -\Delta. \quad (11)$$

In a superconductor, $G_{\alpha\beta}^c = n_c \delta_{\alpha\beta}$, and within the BCS approximation, this results in just renormalization of the chemical potential. Therefore, we finally obtain the Gor'kov equations,

$$(-\partial_\tau \delta_{\alpha\beta} - K_{\alpha\beta}(\nabla)) G_{\beta\gamma}(X, X')$$

$$- g F_{\beta\alpha}(X, X) F_{\beta\gamma}^+(X, X') = \delta(X - X') \delta_{\alpha\gamma},$$

$$(\partial_\tau \delta_{\alpha\beta} - K_{\beta\alpha}(-\nabla)) F_{\beta\gamma}^+(X, X')$$

$$- g F_{\alpha\beta}^+(X, X) G_{\beta\gamma}(X, X') = 0. \quad (12)$$

We will also discuss nonsuperconducting states such as an exciton condensate with opposite bulk proper-

ties, $G_{\alpha\beta}^c(X, X) \neq n_c \delta_{\alpha\beta}$, $F_{\alpha\beta}(X; X') = 0$. In this case, the Dyson–Schwinger form is more convenient. The gap equation can be recast (see Appendix A) in matrix form as

$$G^{-1} = G_0^{-1} + g\delta(X - X')G'_c, \quad (13)$$

where $G'_{\alpha\beta}$ is the traceless part of $G_{\alpha\beta}$,

$$G'_{\alpha\beta}(X; X') = -\langle \psi_\alpha(X) \psi_\beta^\dagger(X') \rangle_c + \frac{1}{4} \delta_{\alpha\beta} \sum \langle \psi_\gamma(X) \psi_\gamma^\dagger(X') \rangle_c, \quad (14)$$

where the renormalized chemical potential in the equations above.

The Matsubara Green's functions (where τ is the Matsubara time) for uniform superconducting states can be expressed via Fourier transforms:

$$G_{\alpha\beta}(\mathbf{r}, \tau; \mathbf{r}', \tau') = \frac{T}{(2\pi)^D} \int d^D \mathbf{k} \times e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} \sum_n e^{-i\omega_n(\tau - \tau')} G_{\alpha\beta}(\mathbf{k}, \omega_n),$$

$$F_{\alpha\beta}^\dagger(\mathbf{r}, \tau; \mathbf{r}', \tau') = \frac{T}{(2\pi)^D} \int d^D \mathbf{k} \times e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} \sum_n e^{-i\omega_n(\tau - \tau')} F_{\alpha\beta}^\dagger(\mathbf{k}, \omega_n), \quad (15)$$

where $\omega = \pi T(2n + 1)$ is the Matsubara fermionic frequency. Using the Fourier forms of the Matsubara Green's functions can simplify the calculation significantly.

The general formula [59, 60] obtained in Appendix B for the energy of the superconducting state is

$$\frac{d\Omega}{d(g^{-1})} = \frac{V}{2} \text{tr}(\Delta \Delta^\dagger), \quad (16)$$

whereas for chiral-symmetry-breaking (exciton condensation) states, the Gaussian energy is [61, 62]

$$\Omega = -\text{tr}\{-\ln G + [G_0^{-1}G - 1]\} + \frac{g}{2} \text{tr}G_c G_c^\dagger. \quad (17)$$

3 Dirac model and its symmetries

3.1 Hamiltonian of a time-reversal-invariant TI with local interaction

Electrons on the surface of a TI perpendicular to the z axis are described by Pauli spinors $\psi(\mathbf{r})$, where the upper plane $\mathbf{r} = \{x, y\}$. In principle, there are multiple valleys. Cases in which just one valley describes the surface of the TI, such as Bi_2Te_3 , were considered in Refs. [1–3, 30, 34]. In this case, time-reversal invariance is broken,

and chiral symmetry breaking is not allowed, so here we consider the simplest case of multiple valleys: the Dirac model in which the chiralities of the two Weyl modes are opposite, as described by the field operators $\psi_{fs}(\mathbf{r})$, where $f = L, R$ are the valley index (pseudospin) for the left/right chirality bands with spin projections taking the values $s = \uparrow, \downarrow$ with respect to, for example, the z axis. To use the Dirac (pseudo-relativistic) notation, these are combined into a four-component bi-spinor creation operator, $\psi^\dagger = (\psi_{L\uparrow}^\dagger, \psi_{L\downarrow}^\dagger, \psi_{R\uparrow}^\dagger, \psi_{R\downarrow}^\dagger)$, whose index $\gamma = \{f, s\}$ takes four values. The non-interacting massless Hamiltonian with a Fermi velocity v_F and linear dispersion relation (see Fig. 1) is given by [63, 64]

$$\mathcal{E}_{\gamma\delta} = -i\hbar v_F \nabla^i \alpha_{\gamma\delta}^i, \quad (18)$$

where two 4×4 matrices, $i = x, y$,

$$\alpha = \begin{pmatrix} \sigma & 0 \\ 0 & -\sigma \end{pmatrix}, \quad (19)$$

are presented in the block form via the Pauli matrices σ . They are related to the Dirac γ matrices (in the chiral representation, this is sometimes called a spinor) by $\alpha = \beta\gamma$, where

$$\beta = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}. \quad (20)$$

The noninteracting Hamiltonian in this notation is given by

$$K = \int_{\mathbf{r}} \psi^\dagger (-i\hbar v_F \beta \gamma \cdot \nabla - \mu) \psi. \quad (21)$$

The Matsubara action, Eq. (3), is conveniently written in pseudo-relativistic notation with $\bar{\psi} = \psi^\dagger \gamma_0$ and (Euclidean) $\gamma_0 = i\beta$:

$$S = \int_{\tau=0}^{1/T} \int_{\mathbf{r}} [-\bar{\psi}(\gamma_0 \partial_\tau + \hbar v_F \gamma \cdot \nabla - \mu \gamma_0) \psi + \frac{g}{2} \bar{\psi} \gamma_0 \psi \bar{\psi} \gamma_0 \psi]. \quad (22)$$

Because our focus is on symmetry and its spontaneous breaking, let us review the known discrete and continuous symmetries.

3.2 Continuous symmetries

The symmetries of the 2D Dirac model with local interactions [Eqs. (1) and (18)] have been thoroughly discussed in relation to graphene [65]. They include parity, time reversal, and the discrete chiral (flavor) transformation: $\psi \rightarrow \gamma_5 \psi$; $\bar{\psi} \rightarrow -\bar{\psi} \gamma_5$, where $\gamma_5 = \gamma_0 \gamma_1 \gamma_2 \gamma_3 = \gamma_5^\dagger$. Spontaneous breaking of this symmetry has been

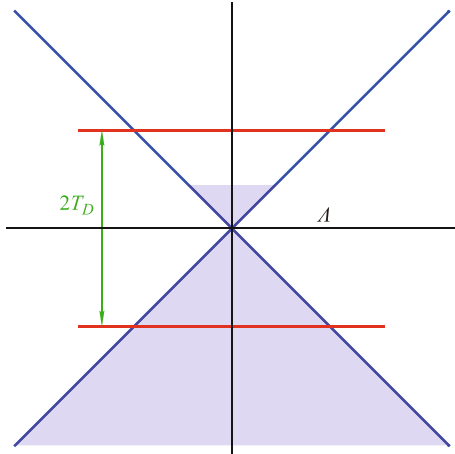


Fig. 1 Schematic picture of band reconstruction of a Weyl semimetal.

comprehensively investigated in the context of graphene [65] and will not be stressed here. There are also three continuous symmetries that in principle can lead to an ordered phase with massless Goldstone bosons (order parameter waves). The first is the usual electric charge $U(1)$, $\psi \rightarrow e^{ix}\psi$, which is spontaneously broken in a superconducting state studied in the next section. In addition, there are the chiral flavor rotations $SU(2)$, which play an important role in exciton condensation that will be addressed in Section 5.

3.2.1 Space-time symmetries: Just Abelian space rotation combined with spin (pseudospin) rotation

Unlike the non-interacting model, the pseudo-relativistic 2+1-dimensional Lorentz invariance is explicitly broken by the static interaction in Eq. (1), so only 2D rotations accompanied by the (pseudo) spin rotation already described in Section 2 with the spin Σ operator,

$$\Sigma = \begin{pmatrix} \sigma_z & 0 \\ 0 & \sigma_z \end{pmatrix} = \gamma_1 \gamma_2, \quad (23)$$

remain symmetric. The conserved quantity is the Abelian angular momentum

$$J = \int_{\mathbf{r}} \psi^\dagger(\mathbf{r}) (i\varepsilon_{ij} r_i \nabla_j + \frac{1}{2} \Sigma) \psi(\mathbf{r}). \quad (24)$$

The second part is referred to as the spin rotation, $S = \frac{1}{2} \int \psi^\dagger \Sigma \psi$.

3.2.2 Electric charge $U(1)$

The usual electric charge is $U(1)$ with conserved electric charge:

$$Q = \int_{\mathbf{r}} \psi^\dagger(\mathbf{r}) \psi(\mathbf{r}) = \int_{\mathbf{r}} \rho(\mathbf{r}). \quad (25)$$

The action described by Eq. (22) is invariant under the phase (global gauge) transformation, $\psi \rightarrow e^{ix}\psi$.

3.2.3 Flavor (chiral or valley) $SU(2)$

It was noticed early on in relation to graphene [65] that there is flavor $SU(2)$ symmetry. It is shown in Appendix C that the quantities

$$Q_i = \int_{\mathbf{r}} \psi^\dagger(\mathbf{r}) T_i \psi(\mathbf{r}) \quad (26)$$

commute with the Hamiltonian and thus are conserved quantities. The generator matrices,

$$T_1 = \frac{i}{2} \gamma_3, \quad T_2 = \frac{1}{2} \gamma_5, \quad T_3 = \frac{1}{2} \gamma_3 \gamma_5, \quad (27)$$

where $\gamma_5 = \gamma_0 \gamma_1 \gamma_2 \gamma_3$, constitute a nonrelativistic $SU(2)$ algebra:

$$[T_i, T_j] = i\varepsilon_{ijk} T_k. \quad (28)$$

A discrete chiral symmetry is just chiral rotation by angle π . The action described by Eq. (22) is invariant under infinitesimal transformation: $\delta\psi = iT_i\psi$; $\delta\bar{\psi} = i\bar{\psi}\gamma_0 T_i \gamma_0$. All three continuous symmetries commute (that is, the charge, flavor, and space-time symmetries commute).

3.3 Spontaneously broken electric charge $U(1)$ symmetry phases: Superconducting pairing channels

Owing to the locality of the dominant interactions, the superconducting order parameter is local:

$$O = \int_{\mathbf{r}} \psi^\dagger_\alpha(\mathbf{r}) M_{\alpha\beta} \psi^\dagger_\beta(\mathbf{r}), \quad (29)$$

where the constant matrix M should be a 4×4 antisymmetric matrix. Owing to rotation symmetry, they transform covariantly under infinitesimal rotations generated by the spin Σ operator [Eq. (23)].

Out of 16 matrices in the four-dimensional Clifford algebra, 6 are antisymmetric. We will not consider rather exotic phases in which, in addition to the charge $U(1)$ symmetry, neither the 2D rotations nor the $SU(2)$ chiral transformations are spontaneously broken. Therefore, the superconducting order parameter is invariant under the remaining symmetries: $[O, J] = [O, Q_i] = 0$. Namely, it is invariant under $SU(2)$ and is either scalar or pseudoscalar under rotations. The requirement of invariance expressed using Eq. (23) takes the form

$$[O, J] = \int_{\mathbf{r}, \mathbf{r}'} [\psi^\dagger_\alpha(\mathbf{r}) M_{\alpha\beta} \psi^\dagger_\beta(\mathbf{r}) \cdot \psi^\dagger_\gamma(\mathbf{r}') \Sigma_{\gamma\delta} \psi_\delta(\mathbf{r}')]]$$

$$= - \int_{\mathbf{r}} \psi^\dagger(\mathbf{r})(\Sigma \mathbf{M} + \mathbf{M} \Sigma^t) \psi^\dagger(\mathbf{r}) = 0. \quad (30)$$

Similarly,

$$[O, Q_i] = - \int_{\mathbf{r}} \psi^\dagger(\mathbf{r})(T_i \mathbf{M} + \mathbf{M} T_i^t) \psi^\dagger(\mathbf{r}) = 0. \quad (31)$$

One finds that the only scalar is $M = i\alpha_y$. There is also a pseudoscalar that will not be discussed here, i.e., we assume that the superconducting state preserves all the other symmetries. Which of the condensates is realized at zero temperature is determined by the parameters of the Hamiltonian along the lines of the dynamical calculation presented in Section 4 for the scalar.

When the effective electron attraction is replaced by repulsion, and superconductivity is not realized, there is still the possibility of continuous symmetry breaking that also belongs to a chiral universality class: the chiral $SU(2)$.

3.4 Chiral $SU(2)$ broken excitonic phases

In this section, we consider the opposite situation when the charge symmetry is unbroken, that is, no superconducting condensate appears. Owing to the nontrivial multicomponent situation with the $SU(2)$ symmetry, there are possible transitions into gapped exciton condensate phases. It is plausible that rotational symmetry is also unbroken. However, there are two possible patterns: breakdown to a $U(1)$ subgroup with two Goldstone boson modes, and breakdown to a trivial subgroup with three Goldstone models.

The general order parameter now is

$$P = \int_{\mathbf{r}} \psi^\dagger_{\alpha}(\mathbf{r}) V_{\alpha\beta} \psi_{\beta}(\mathbf{r}), \quad (32)$$

where the constant matrix V should be a 4×4 Hermitian matrix. There are four chiral $SU(2)$ triplets of order parameters, $\mathbf{P} = \{P_1, P_2, P_3\}$, which can be viewed as the $O(3)$ vectors. Their commutations with chiral rotation generators Q_i , as defined in Eq. (26), are

$$[P_i, Q_j] = \int_{\mathbf{r}} \psi^\dagger(\mathbf{r}) [V_i, T_j] \psi(\mathbf{r}) = \varepsilon_{ijk} P_k. \quad (33)$$

The four sets of matrices $V_{\alpha\beta}$ in terms of the Dirac and chiral symmetry matrices are

$$\begin{aligned} V^{(1)} &= \{\gamma_0 T_2, \gamma_0 T_1, \frac{1}{2} i \gamma_0\} = \frac{1}{2} \{-\gamma_1 \gamma_2 \gamma_3, i \gamma_0 \gamma_3, i \gamma_0\}, \\ V^{(2)} &= \{T_1, T_2, T_3\} = \frac{1}{2} \{i \gamma_3, \gamma_0 \gamma_1 \gamma_2 \gamma_3, -\gamma_0 \gamma_1 \gamma_2\}, \\ V^{(3)} &= \{\gamma_1, \gamma_1 \gamma_3, \gamma_0 \gamma_2 \gamma_3\}, \\ V^{(4)} &= \{\gamma_2, \gamma_2 \gamma_3, \gamma_0 \gamma_1 \gamma_3\}. \end{aligned} \quad (14)$$

There are also four chiral scalars, $[P, Q_i] = 0$, $Q = \int \psi^\dagger I \psi$ (charge), $S = \int \psi^\dagger \gamma_1 \gamma_2 \psi$ (spin), and $H_i = \int \psi^\dagger \alpha_i \psi$, $\alpha_i = \gamma_0 \gamma_i$, $i = x, y$, which complete the Clifford algebra consisting of 16 Hermitian matrices. These are not order parameters and hence are not of interest to us. We also limit ourselves to the rotation-invariant phases.

The requirement of invariance expressed using Eq. (23) takes the form

$$[P, J] = \int_{\mathbf{r}} \psi^\dagger(\mathbf{r}) [V, \Sigma] \psi^\dagger(\mathbf{r}). \quad (35)$$

Because $V^{(3)}$ and $V^{(3)}$ in Eq. (34) are not invariant under rotation, only the first two are considered. If only one of the chiral vector order parameters has a nonzero expectation value, say $\langle P_3^{(1)} \rangle \neq 0$, the symmetry breaking pattern is $SU(2) \rightarrow U(1)$, because $[P_3^{(1)}, Q_3] = 0$. According to the Goldstone theorem, there are two soft modes in the directions Q_1 and Q_2 . If, in addition, the second vector order parameter reaches the vacuum expectation value, $\langle P_i^{(2)} \rangle \neq 0$, and $i \neq 3$, the pattern will be $SU(2) \rightarrow I$ with three Goldstone modes.

Which symmetry breaking mode is actually realized for a given set of system parameters (e.g., chemical potential, Fermi velocity, interaction sign and strength, temperature) is a dynamical question. Therefore, we now turn to the dynamical aspects of the phase diagram of the Dirac model.

4 Superconducting state

Within a Gaussian approximation, the Green's functions obey the Gor'kov equations derived in Ref. [30] and in the last section. For $g > 0$, the anomalous Green's functions are nonzero, which simplifies the Gor'kov equations for the Fourier components of the Green's functions considerably:

$$\begin{aligned} D_{\gamma\beta}^{-1} G_{\beta\kappa}(\omega, p) - \hat{\Delta}_{\gamma\beta} F_{\beta\kappa}^\dagger(\omega, p) &= \delta^{\gamma\kappa}, \\ D_{\beta\gamma}^{-1} F_{\beta\kappa}^\dagger(\omega, p) + \hat{\Delta}_{\gamma\beta}^* G_{\beta\kappa}(\omega, p) &= 0, \end{aligned} \quad (36)$$

where $D_{\gamma\beta}^{-1} = (i\omega - \mu) \delta_{\gamma\beta} - v_F \varepsilon_{ij} p_i \alpha_{\alpha\beta}^j$ when the chemical potential is renormalized. The matrix gap function can be chosen as (Δ real)

$$\hat{\Delta}_{\beta\gamma} = g F_{\gamma\beta}(0) = \begin{pmatrix} 0 & \Delta \\ -\Delta & 0 \end{pmatrix}. \quad (37)$$

These equations are conveniently presented in matrix form (superscript t denotes transposition, and I represents the identity matrix):

$$D^{-1} G - \hat{\Delta} F^\dagger = I;$$

$$D^{t-1}F^\dagger + \hat{\Delta}^*G = 0. \quad (38)$$

Solving these equations yields

$$\begin{aligned} G^{-1} &= D^{-1} + \hat{\Delta}^t \hat{\Delta}^*, \\ F^\dagger &= -D^t \hat{\Delta}^* G, \end{aligned} \quad (39)$$

and the gap function is found from the consistency condition

$$\hat{\Delta}^* = -g \sum_{\omega q} D^t \hat{\Delta}^* G. \quad (40)$$

The off-diagonal component of this equation is

$$\begin{aligned} \frac{1}{g} &= \sum_{\omega p} (\Delta^2 + v_F^2 p^2 + \mu^2 + \hbar^2 \omega^2) \\ &\times [\Delta^2 + \hbar^2 \omega^2 + (v_F p - \mu)^2]^{-1} \\ &\times [\Delta^2 + \hbar^2 \omega^2 + (v_F p + \mu)^2]^{-1}. \end{aligned} \quad (41)$$

The spectrum of elementary excitations obtained from the poles of the Green's function coincides with that found within the Bogoliubov-de Gennes approach [34]: $E_p = \pm \sqrt{\Delta^2 + (v_F p - \mu)^2}$.

4.1 Zero-temperature phase diagram for the superconductor-normal transition

At zero temperature, the integrations over frequency and momentum limited by the UV cutoff Λ result in

$$U = \sqrt{\Delta^2 + \mu^2} - \frac{\mu}{2} \log \frac{\sqrt{\Delta^2 + \mu^2} + \mu}{\sqrt{\Delta^2 + \mu^2} - \mu}, \quad (42)$$

where the dependence on the cutoff is incorporated in the renormalized coupling, and the dimension of energy is defined as

$$U = v_F \Lambda - \frac{4\pi \hbar^2 v_F^2}{g}. \quad (43)$$

This can be interpreted as the effective binding energy of the Cooper pair in the Weyl semimetal. We consider only $\mu > 0$, because the particle-hole symmetry makes the opposite case of hole doping, $\mu < 0$, identical. Of course, the superconducting solution exists only for $g > 0$. In Fig. 2, the dependence of the gap Δ as a function of the chemical potential μ is presented for different values of U .

For an attractive coupling g stronger than the critical one,

$$g_c = \frac{4\pi \hbar^2 v_F}{\Lambda}. \quad (44)$$

When $U > 0$ (blue lines in Fig. 2), there are two qualitatively different cases.

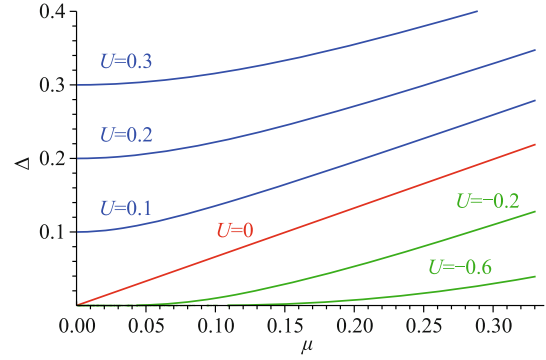


Fig. 2 Order parameter at zero temperature as a function of the chemical potential of the surface TI Weyl semimetal at various coupling values parametrized by the renormalized energy U [Eq. (43)]. For positive U (blue lines), the superconductivity is strong and does not vanish even for zero chemical potential. There exists a critical coupling, $U = 0$ (red line), at which the second-order transition occurs at the QCP, $\mu = 0$. For negative U , superconductivity still exists at $\mu > 0$ but is exponentially weak.

(i) When $\mu \ll U$, the dependence of Δ on the chemical potential is parabolic [30]. In particular, when $\mu = 0$, the gap equals U . As shown in Fig. 2, the chemical potential has a very limited impact in a large portion of the phase diagram.

(ii) For an attraction just stronger than the critical one, $g > g_c$, namely, for small positive U , the dependence becomes linear (red line in Fig. 2, $\Delta = 0.663\mu$). Thus, the already weak condensate becomes sensitive to μ .

Case (i) is more interesting than (ii) because it exhibits stronger superconductivity (larger T_c ; see below). Finally, for $g < g_c$, namely, negative U (green lines), the superconductivity is very weak, with an exponential dependence similar to the BCS one, $\Delta \approx \mu \exp[-(|U|/\mu - 1)]$. As was mentioned above, in the more interesting cases of large Δ , the dependence on the chemical potential is very weak. A peculiarity of the superconductivity in a TI is that electrons (and holes) in Cooper pairs are themselves created by the pairing interaction rather than being present in the sample as free electrons. Therefore, it is shown that it is possible to neglect the effect of weak doping and consider directly the $\mu = 0$ particle-hole symmetric case. This point in parameter space is the QCP [36] and will be studied in detail below. Of course, at finite temperature at any attraction, $g > 0$, there exists a (classical) superconducting critical point at a certain temperature T_c , which is calculated next.

4.2 Dependence of critical temperature T_c on the strength of the pairing interaction

Summation over the Matsubara frequency and integra-

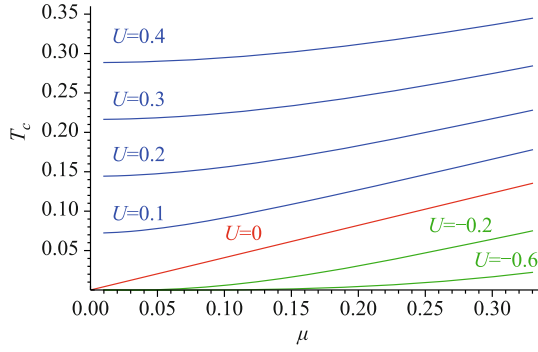


Fig. 3 Transition temperature as a function of the chemical potential at supercritical ($U > 0$, blue), critical ($U = 0$, red), and subcritical values of coupling.

tions over the momenta in the gap equation, Eq. (42), at finite temperature and arbitrary chemical potential are performed. The critical temperature as a function of μ and (positive) U is obtained numerically and presented in Fig. 3. Again, at relatively large U , the dependence of T_c on the chemical potential is very weak and parabolic. When $0 < g < g_c$, the critical temperature is exponentially small, albeit nonzero, $\frac{\Delta}{T} \approx 1 + (\frac{\mu}{T})^2$.

4.3 Zero chemical potential ($\mu = 0$)

At zero chemical potential, the Hamiltonian [Eq. (1)] possesses particle-hole symmetry. Microscopically, Cooper pairs of both electrons and holes are formed (see Fig. 1). The system is unique in this sense because the electron-hole symmetry is not spontaneously broken in either the normal or superconducting phase. The supercurrent in such a system does not carry momentum or mass. By obtaining the sum and integrating over the momenta in the gap equation, Eq. (42), analytically [see Appendix A and using the definition of U given in Eq. (43)] for $U > 0$, it becomes

$$U = 2T \log \left(2 \cosh \frac{\Delta}{2T} \right). \quad (45)$$

At zero temperature, $\Delta = U$, whereas $\Delta \rightarrow 0$ as a power of the parameter $U \propto g - g_c$ describing the deviation from quantum criticality:

$$T_c = \frac{1}{2 \log 2} U^{z\nu}; \quad z\nu = 1. \quad (46)$$

Here z is the dynamical critical exponent [36]. Therefore, as expected, the renormalized coupling describing the deviation from the QCP is proportional to the temperature at which the created condensate disappears.

The temperature dependence of the gap is

$$\Delta(T) = 2T \operatorname{arccosh} \left(\frac{1}{2} \exp \frac{U}{2T} \right). \quad (47)$$

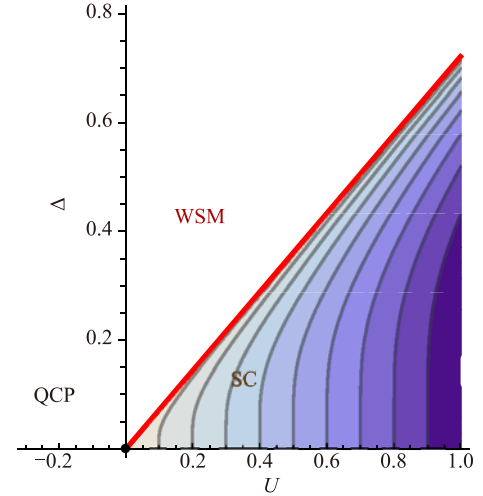


Fig. 4 Phase diagram of surface TI. Order parameter as a function of U describing the deviation from criticality near the QCP at $\Delta = 0$, $\mu = 0$. The critical line is a straight line in the mean-field approximation.

This is typical of chiral universality classes [36, 39, 40].

It is interesting to compare this dependence with the conventional BCS [59, 60] for transitions at finite temperature, namely, away from the QCP. At zero temperature, $\Delta(0)/T_c = 2 \log 2 = 1.39$ (within the BCS model, 1.76), whereas near T_c , one obtains $\Delta/T_c = 2^{3/2} \log^{1/2} 2 \sqrt{1-t} = 2.35 \sqrt{1-t}$ (in the BCS model, $3.07 \sqrt{1-t}$), where $t = T/T_c$. To describe the behavior of the surface TI in inhomogeneous situations such as an external magnetic field, boundaries, impurities, or junctions with metals or other superconductors, it is necessary to derive the effective theory in terms of the order parameter $\Delta(\mathbf{r})$, where \mathbf{r} varies on the mesoscopic scale.

A phase diagram of the surface TI order parameter as function of U at zero temperature is plotted in Fig. 4.

4.4 Coherence length and condensation energy

The quadratic term of the Ginzburg-Landau (GL) energy, $F_2 = \sum_{\mathbf{p}} \Delta_p^* \Gamma(\mathbf{p}) \Delta_p$, is obtained exactly by expanding the gap equation to linear terms in Δ for an arbitrary external momentum. The dependence on \mathbf{p} is non-analytic, and within our approximation, higher powers of p do not appear. The second term is very different from the quadratic term in the GL functional for conventional phase transitions at finite temperature [37, 38] or even quantum phase transitions in models without Weyl fermions [36], which has a number of qualitative consequences. Comparing the two terms in Eq. (51), one obtains the coherence length as a power of the parameter $U \propto g - g_c$ describing the deviation from criticality:

$$\xi(U) = \frac{\pi}{4} v_F \hbar U^{-\nu}; \quad \nu = 1. \quad (48)$$

This differs from the dependence in non-chiral universality classes, which is [37, 38] $\xi(T) \propto (T_c - T)^{-\nu}$, $\nu = 1/2$ in the mean field. Of course, in the regime of critical fluctuations, this exponent is corrected in both non-chiral [37, 38] and chiral [41, 42] universality classes.

Local terms in the GL energy density are also exactly calculable. The expression for the kernel can be written as a trace:

$$\Gamma = \frac{1}{2} \text{tr} \left(\sum_{\omega q} \sigma^y D_{\omega q}^t \sigma^y D_{\omega, q-p} + \frac{1}{g} I \right) = \frac{1}{g} - \sum_{\omega q} \frac{\hbar^2 \omega^2 - v_F^2 p \cdot q + v_F^2 q^2}{(\hbar^2 \omega^2 + v_F^2 q^2)(\hbar^2 \omega^2 + v_F^2 |\mathbf{q} - \mathbf{p}|^2)}. \quad (49)$$

Integrating over ω (at zero temperature) yields

$$\Gamma = -\frac{1}{8\pi^2 \hbar^2 v_F} \int_{q, \phi} \frac{pq \cos \phi - p^2}{|\mathbf{q} - \mathbf{p}|^2 + q|\mathbf{q} - \mathbf{p}|} - \frac{U}{4\pi \hbar^2 v_F^2}, \quad (50)$$

where ϕ is the angle between \mathbf{q} and \mathbf{p} . The integral is homogeneous in momentum and therefore is linear in $p = |\mathbf{p}|$, and one arrives at

$$\Gamma(p) = -\frac{U}{4\pi \hbar^2 v_F^2} + \frac{|p|}{16v_F \hbar^2}. \quad (51)$$

4.5 Local terms in Ginzburg–Landau equation and energy

For $p = 0$, the gap equation [Eq. (42)] is

$$\frac{\Delta}{4\pi \hbar^2 v_F^2} (-U + \sqrt{\Delta^* \Delta}) = 0. \quad (52)$$

This is obtained from the energy functional

$$F = \frac{1}{4\pi \hbar^2 v_F^2} \int d^2 \mathbf{r} \left[-U \Delta^* \Delta + \frac{2}{3} (\Delta^* \Delta)^{3/2} \right]. \quad (53)$$

It is quite nonstandard compared to the customary quartic term $(\Delta^* \Delta)^2$ in conventional universality classes. The GL equations in the homogeneous case for the condensate yield $\Delta_0 = U^\beta$ with a critical exponent $\beta = 1$, different from the mean-field value, $\beta = 1/2$, for the $U(1)$ universality class [37, 38]. The condensation energy density is $f_0 = -\frac{1}{12\pi \hbar^2 v_F^2} U^{2-\alpha}$, where $\alpha = -1$. The free energy critical exponent at the QCP therefore also differs from the classical $\alpha = 0$. The magnetic field is coupled to the order parameter field by a standard minimal substitution. The GL approach is the only available practical tool to study the properties of inhomogeneous configurations [66–68] in an external magnetic field, such as Abrikosov vortex systems.

5 Exciton condensation

5.1 Chiral symmetry breaking

Assuming that the electric charge $U(1)$ and rotation symmetry are unbroken (no superconductivity) for insufficiently strong attractive interaction (pairing), transitions can still appear owing to the always existing effective repulsion. This possibility has already been considered for graphene [65]. Here we note that the symmetry pattern might be very different from the often-invoked relativistic 2 + 1 Gross–Neveu model thoroughly studied as a toy model in relativistic quantum field theory. Possible chiral-symmetry-breaking states were reviewed in Section 2.4, and we consider first a ground state with nonzero order parameter

$$\langle P_3^{(1)} \rangle = v \neq 0. \quad (54)$$

It is convenient to parametrize the Gaussian variational ground state by the trace of the propagator:

$$G(X; X) = \frac{m}{g} \gamma_0, \quad (55)$$

where the mass m determines the order parameter. According to the gap equation derived in Appendix B, we have

$$G^{-1} = (i\omega + \mu)I - \alpha \cdot (\hbar v_F \mathbf{k}) - m\gamma_0, \\ G = -\frac{(\mu + i\omega)I + \alpha \cdot (\hbar v_F \mathbf{k}) + m\gamma_0}{m^2 + (\hbar v_F \mathbf{k})^2 - (\mu + i\omega)^2}, \quad (56)$$

which leads to

$$mI = gG'(\tau, \mathbf{r}; \tau, \mathbf{r})\gamma_0^{-1} = -\frac{g}{(2\pi)^3} \int_{\omega, \mathbf{k}} \frac{m}{m^2 + (\hbar v_F \mathbf{k})^2 - (\mu + i\omega)^2}. \quad (57)$$

Integrating with a momentum cutoff Λ yields the following for $m \neq 0$:

$$1 = -\frac{g}{4\pi(\hbar v_F)^2} \begin{cases} (\sqrt{m^2 + \Lambda^2} - m), & \mu \leq m; \\ (\sqrt{m^2 + \Lambda^2} - \mu), & \mu > m. \end{cases} \quad (58)$$

For $\mu = m$, one obtains the first-order transition point (for $\mu > 0$):

$$\frac{4\pi(\hbar v_F)^2}{|g_c|} = \sqrt{\mu^2 + \Lambda^2} - \mu. \quad (59)$$

For a larger repulsion, $|g| \geq |g_c|$, the mass is larger:

$$m = \mu + \frac{1}{(\hbar v_F)^2} (|g| - |g_c|) \left(\frac{\Lambda^2}{8\pi} + \frac{2\pi(\hbar v_F)^4}{|g||g_c|} \right). \quad (60)$$

The free energy, according to the Abrikosov formula

derived in Appendix B ($\Lambda \gg m$), is

$$[\Omega(m) - \Omega_0(m=0)]/V = \int_0^m 2m^2 \frac{d\frac{1}{|g|}}{dm} dm \\ = - \int_0^m \frac{m^2}{2\pi(\hbar v_F)^2} dm = - \frac{m^3}{6\pi(\hbar v_F)^2}. \quad (61)$$

For $\mu > m$, because $\frac{d(1/|g|)}{dm} = \frac{1}{4\pi(\hbar v_F)^2} \frac{m}{\sqrt{m^2 + \Lambda^2}} > 0$, the chiral-symmetry-breaking state will have higher energy than the normal one. For $|g| < |g_c|$, the stable ground state is the normal state with $m = 0$.

The finite-temperature properties, including the phase diagram and the QCP at zero chemical potential, can be studied along lines similar to those for the superconducting transition.

6 Discussion and conclusions

Having considered both the superconducting and excitonic transitions for sufficiently strong attraction or repulsion within the Gaussian approximation, a natural question is what happens when we approach the QCP. At criticality, various renormalization group (RG) methods should be used [37, 38].

6.1 Criticality beyond the Gaussian approximation

Critical (quantum) fluctuations are expected to be significant in this relatively low-dimensional (relativistic 2+1-dimensional system) system. Generally, they are not as strong as in a 2D statistical system at finite temperature, but they are stronger than in a 3D one. The approximation we have made describes reasonably well the “Gaussian” fluctuation beyond the region where stronger critical fluctuations in these systems appear and should be treated nonperturbatively [39, 40], typically using variants of the RG approach [37, 38]. The critical exponents in this region differ from the one called “quantum Gaussian (BCS)” in Ref. [36], and the available results were obtained using ε expansion [41, 42, 69] ($\varepsilon = 4 - d$, where $d = 2 + 1$ is the space-time dimension); $1/N$, where N is the number of fermionic species on the surface [41, 42]; or functional (strong coupling) RG [70] and Monte Carlo simulations [71–75] (with reservations specified below). The universality class of the superconducting transition according to the classification proposed in Refs. [41, 42] is the chiral XY [symmetry of order parameter $U(1)$] for $N = 1$. The large N expansion is not reliable for the one-component system considered here (however, the number might be larger in similar systems for which our approach trivially generalizes), so let us use the ε

expansion.

According to the formulas for the anomalous dimensions of the order parameter (see Ref. [42]),

$$\gamma_\Delta = \eta = \frac{1}{4}\varepsilon + 0.044\varepsilon^2 + O(\varepsilon^3) \approx 0.294, \quad (62)$$

and its square,

$$\gamma_{\Delta^2} = \frac{1 + \sqrt{11}}{10}\varepsilon + 0.065\varepsilon^2 \simeq 0.43\varepsilon + 0.065\varepsilon^2, \quad (63)$$

the critical exponents are obtained from the hyperscaling relations,

$$\alpha = 2 - \frac{d}{2 - \gamma_{\Delta^2}} = \frac{\varepsilon - 2\gamma_{\Delta^2}}{2 - \gamma_{\Delta^2}} = -0.353, \\ \beta = \frac{1 + \gamma_\Delta}{2(2 - \gamma_{\Delta^2})} = 0.515, \quad (64)$$

and can be compared with those in Table 1 in Ref. [30]. The exponents from the ε expansion were found to be consistent for larger values of N with the latest Monte Carlo simulations [73–75] and also consistent with the functional RG [70]. The critical exponents of the chiral transition belong to this class with $N = 2$.

The corresponding chiral universality class for exciton condensation in Dirac semimetals is the Heisenberg $N = 2[SU(2)]$ class. The critical exponents for this case were also calculated in Refs. [41, 42, 69, 70]. They were recently invoked in a discussion of second-order quantum transitions in a Hubbard model on a honeycomb lattice [76, 77]. Note that the flavor symmetries are often broken explicitly by some type of anisotropy. In this case, Goldstone bosons acquire a small mass (like pions in quantum chromodynamics, in which the chiral symmetry is slightly broken by the light quark masses), although their major properties remain intact. This can be taken into account as a small perturbation [78].

6.2 Experimental feasibility of observing quantum phase transitions

The best candidate for the observation of superconductivity is a TI of the Bi_2Se_3 family. To estimate the pairing efficiency due to phonons, one should rely on recent studies of surface phonons in TIs [26–29]. The coupling constant in the Hamiltonian [Eq. (1)] is obtained from the exchange of acoustic (Rayleigh) surface phonons, $g = \lambda v_F^2 \hbar^2 / (2\pi\mu)$, where λ is the dimensionless effective electron–electron interaction constant of order 0.1 (somewhat lower values are obtained in Refs. [79, 80]). It was shown in Refs. [26–29] that at zero temperature, the ratio of λ and μ is constant with a well-defined $\mu \rightarrow 0$ limit, where $g = 0.23 \text{ eV}\cdot\text{nm}^2$ for $v_F \approx 7 \times 10^5 \text{ m/s}$ (for

Bi_2Se_3). The critical coupling constant g_c [Eq. (44)] can be estimated from the Debye cutoff $T_D = 200$ K by determining the momentum cutoff $\Lambda = T_D/c_s$, where c_s is the sound velocity. Taking the value to be $c_s = 2 \times 10^3$ m/s (for Bi_2Se_3), one obtains $g_c = 4\pi v_F c_s \hbar^2 / T_D = 0.20$ eV nm².

Of course, the Coulomb repulsion might weaken or even overpower the effect of the attraction due to phonons, and superconductivity does not occur. In a TI such as Bi_2Se_3 , however, the dielectric constant is very large ($\varepsilon = 50$), so the Coulomb repulsion is weak. Moreover, it was found in graphene (which has an identical Coulomb interaction) that although the semimetal does not screen [44], the effects of the Coulomb coupling are surprisingly small, even in the leading order in perturbation theory. Superconductivity was observed in these systems, which, however, had to be doped either in the bulk or on the surface [81–84] by supplying Cu or by applying pressure [85]. It is not yet clear whether the observed superconductivity is a bulk or a surface effect.

The Dirac semimetal in optically trapped cold atoms [56] offers a well-controlled system in which this phenomenon occurs both for the repulsive interaction (chiral symmetry breaking) and in particular for the attractive one (superconductivity) because there is no Coulomb repulsion, as the atoms are neutral.

After the experimental discovery of 3D Dirac semimetals [86–91], a new class of questions similar to those discussed in this paper recently arose. The extraordinary electronic properties of these Dirac materials [92–97], including superconductivity [98] and chiral condensation, are being studied theoretically and experimentally.

6.3 Conclusions

We have studied continuous phase transitions in a Dirac semimetal realized recently as a surface TI. The noninteracting system is characterized by a (nearly) zero density of states on the 2D Fermi manifold. It degenerates into a point when the chemical potential coincides with the Weyl point of the surface states, as in the original proposal for a major class of such materials [31]. The pairing attraction (the most plausible candidate being surface phonons) therefore has two roles to play in the creation of a superconducting condensate. The first is to create a pair of electrons (in the present circumstances, that means creating two holes as well), and the second is to pair them. Creating the charges does not cost much energy because the spectrum of the Weyl semimetal is gapless (massless relativistic fermions); this is effective as long as the coupling g is larger than the critical g_c [see Eq. (44)]. The situation is more reminiscent of the

creation of a chiral condensate in relativistic massless four-fermion theory (a 2D version [39, 40] was recently contemplated for graphene [4, 5, 44]) than of the BCS or even Bose–Einstein condensate in condensed matter systems with a parabolic dispersion law. Owing to the special ultrarelativistic nature of the pairing, transitions at zero temperature as a function of parameters such as the pairing interaction strength are unusual; even the mean-field critical exponents differ from the standard ones, which generally belong to the $U(1)$ class of second-order phase transitions.

To summarize, we studied the phase diagram of the superconducting and chiral transitions at arbitrary chemical potential, effective local interaction strength, and temperature T . The quantum ($T = 0$) critical point appears at zero chemical potential and belongs to the $U_N(1)$ chiral universality class (the subscript denotes the number of massless fermions at the QCP according to the classification in Refs. [36, 41, 42]) for attraction (superconductivity) and $SU_N(2)$ for repulsion (exciton condensation).

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Appendix A. Path integral derivation of the Gor'kov and Dyson–Schwinger equations

A.1 General correlations and sources

We introduce the Grassmanian source terms into the Matsubara action [Eq. (3)]:

$$S[\psi^+, \psi, J^+, J] = S[\psi^+, \psi] - \int_0^\beta d\tau \int_r [\psi_\sigma^+(\tau, \mathbf{r}) J_\sigma(\tau, \mathbf{r}) + \psi_\sigma(\tau, \mathbf{r}) J_\sigma^+(\tau, \mathbf{r})]. \quad (65)$$

The generating functional for the disconnected correlations is $Z(J^+, J)$:

$$\langle \psi_\alpha(X) \rangle = \frac{1}{Z} \frac{\delta Z}{[-\delta J_\alpha^+(X)]},$$

$$\langle T\psi_\alpha(X)\psi_\beta(X')\dots\rangle_u = \frac{1}{Z} \frac{\delta Z}{[-\delta J_\alpha^+(X)][-\delta J_\beta^+(X')]\dots}, \quad (66)$$

where $X = (\mathbf{r}, \tau)$, and $X' = (\mathbf{r}', \tau')$. The correlators are (Matsubara) time ordered. The generating functional for the connected correlations is $W = -\ln Z(J^+, J)$:

$$\begin{aligned} \langle \psi_\alpha(X) \rangle &= \frac{\delta W}{\delta J_\alpha^+(X)}, \\ \langle T\psi_\alpha(X)\psi_\beta(X')\dots \rangle &= -\frac{\delta W}{[-\delta J_\alpha^+(X)][-\delta J_\beta^+(X')]\dots}. \end{aligned} \quad (67)$$

In particular, we define the normal and anomalous Green's functions (see Ref. [29]) as

$$\begin{aligned} \langle T\psi_\alpha(X)\psi_\beta^+(X') \rangle &= -\frac{\delta W}{\delta J_\alpha(X)\delta J_\beta^+(X')} \\ &= -G_{\alpha\beta}(X; X'), \\ \langle T\psi_\alpha(X)\psi_\beta(X') \rangle &= -\frac{\delta W}{\delta J_\alpha^+(X)\delta J_\beta(X')} \\ &= F_{\alpha\beta}(X; X'), \\ \langle T\psi_\alpha^+(X)\psi_\beta^+(X') \rangle &= -\frac{\delta W}{\delta J_\alpha(X)\delta J_\beta(X')} \\ &= F_{\alpha\beta}^+(X, X'), \end{aligned} \quad (68)$$

where we denote $\langle \psi_\alpha(X) \rangle$ as $\psi_\alpha(X)$, and drop the time-ordering operation T in the correlators. For example, $\langle \psi_\alpha(X)\psi_\beta(X') \rangle$ stands for $\langle T\psi_\alpha(X)\psi_\beta(X') \rangle$.

A.2 Derivation of the Gor'kov equation for superconductivity

When the identities

$$\begin{aligned} \int D\psi^+ D\psi \frac{\delta}{\delta \psi_\alpha^+(X)} e^{-\frac{1}{\hbar} S[\psi^+, \psi, J^+, J]} &= 0; \\ \int D\psi^+ D\psi \frac{\delta}{\delta \psi_\alpha(X)} e^{-\frac{1}{\hbar} S[\psi^+, \psi, J^+, J]} &= 0, \end{aligned} \quad (69)$$

are used, the equations of motion yield

$$\begin{aligned} (\hbar\partial_\tau\delta_{\alpha\beta} + K_{\alpha\beta}(\nabla))\psi_\beta(X) \\ -g\langle \psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X) \rangle &= J_\alpha(\tau, \mathbf{r}), \\ (\hbar\partial_\tau\delta_{\alpha\beta} - K_{\beta\alpha}(-\nabla))\psi_\beta^+(X) \\ +g\langle \psi_\alpha^+(X)\psi_\beta^+(X)\psi_\beta(X) \rangle &= J_\alpha^+(\tau, \mathbf{r}). \end{aligned} \quad (70)$$

The full correlations of the fields can be generally expressed via connected correlators, for example:

$$\langle \psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X) \rangle = \psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X)$$

$$\begin{aligned} &-\psi_\alpha(X)\langle \psi_\beta(X)\psi_\beta^+(X) \rangle_c \\ &+\psi_\beta(X)\langle \psi_\alpha(X)\psi_\beta^+(X) \rangle_c \\ &+\langle \psi_\beta^+(X) \rangle \langle \psi_\beta(X)\psi_\alpha(X) \rangle \\ &+\langle \psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X) \rangle_c. \end{aligned} \quad (71)$$

For the Gaussian mean-field approximation, we omit higher-order connected correlations, such as $\langle \psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X) \rangle$:

$$\begin{aligned} J_\alpha(X) &= (\hbar\partial_\tau\delta_{\alpha\beta} + K_{\alpha\beta})\psi_\beta(X) \\ &-g\psi_\beta^+(X)\psi_\beta(X)\psi_\alpha(X) - \psi_\alpha(X)\langle \psi_\beta(X)\psi_\beta^+(X) \rangle_c \\ &+\psi_\beta(X)\langle \psi_\alpha(X)\psi_\beta^+(X) \rangle_c + \psi_\beta^+(X)\langle \psi_\beta(X)\psi_\alpha(X) \rangle_c. \end{aligned} \quad (72)$$

Performing the functional derivative $\frac{\delta}{\delta J_\gamma(X')}$ [by using the identity $\frac{\delta}{\delta J_\gamma(X')}\psi_\alpha(X) = \langle \psi_\alpha(X)\psi_\gamma^+(X') \rangle$, where the correlators of the odd-numbered Grassmannians vanish] and taking at the end $J_\alpha(X) = 0$, one obtains:

$$\begin{aligned} \delta(X - X')\delta_{\alpha\gamma} &= (\partial_\tau\delta_{\alpha\beta} + \hat{K}_{\alpha\beta})\langle \psi_\beta(X)\psi_\gamma^+(X') \rangle \\ &-g\{-\langle \psi_\alpha(X)\psi_\gamma^+(X') \rangle \langle \psi_\beta(X)\psi_\beta^+(X) \rangle \\ &+\langle \psi_\beta(X)\psi_\gamma^+(X') \rangle \langle \psi_\alpha(X)\psi_\beta^+(X) \rangle \\ &+\langle \psi_\beta^+(X)\psi_\gamma^+(X') \rangle \langle \psi_\beta(X)\psi_\alpha(X) \rangle\}, \end{aligned} \quad (73)$$

or

$$\begin{aligned} \delta(X - X')\delta_{\alpha\gamma} &= (-\partial_\tau\delta_{\alpha\beta} - \hat{K}_{\alpha\beta})G_{\beta\gamma}(X, X') \\ &-g\{-G_{\alpha\gamma}(X, X')G_{\beta\beta}(X, X) \\ &+G_{\beta\gamma}(X, X')G_{\alpha\beta}(X, X)_c \\ &+F_{\beta\gamma}^+(X, X')F_{\beta\alpha}(X, X)\}. \end{aligned} \quad (74)$$

Similarly, the second equation of motion,

$$\begin{aligned} J_\alpha^+(\tau, \mathbf{r}) &= (\partial_\tau\delta_{\alpha\beta} - \hat{K}_{\beta\alpha}(-\nabla))\langle \psi_\beta^+(\tau, \mathbf{r}) \rangle \\ &+g\{\langle \psi_\alpha^+(\tau, \mathbf{r})\psi_\beta^+(\tau, \mathbf{r}) \rangle \langle \psi_\beta(\tau, \mathbf{r}) \rangle \\ &-\langle \psi_\alpha^+(\tau, \mathbf{r}) \rangle \langle \psi_\beta(\tau, \mathbf{r})\psi_\beta^+(\tau, \mathbf{r}) \rangle \\ &+\langle \psi_\beta^+(\tau, \mathbf{r}) \rangle \langle \psi_\beta(\tau, \mathbf{r})\psi_\alpha^+(\tau, \mathbf{r}) \rangle\}, \end{aligned} \quad (75)$$

yields

$$\begin{aligned} &(\partial_\tau\delta_{\alpha\beta} - \hat{K}_{\beta\alpha}(-\nabla))F_{\beta\gamma}^+(X, X') \\ &+g\{-F_{\alpha\beta}^+(X, X)G_{\beta\gamma}(X, X') \\ &+F_{\alpha\gamma}^+(X, X')G_{\beta\beta}(X, X) \\ &+G_{\beta\alpha}(X, X)F_{\beta\gamma}^+(X, X')\} = 0. \end{aligned} \quad (76)$$

In the superconducting phase, if the spin-rotation invariance is not broken and chiral symmetry is preserved,

$G_{\alpha\beta}(X, X) = G_{\alpha\beta}^c = n_c \delta_{\alpha\beta}$. For the N component spinors $n_c = n/N$, where n is the density of electrons, the quadratic parts of Eqs. (74) and (76) simplify to

$$\begin{aligned} & -g\{-G_{\alpha\gamma}(X, X')G_{\beta\beta}(X, X) + G_{\beta\gamma}(X, X')G_{\alpha\beta}(X, X)\} \\ & = -g\{-G_{\alpha\gamma}(X, X')Nn_c + n_c G_{\alpha\gamma}(X, X')\} \\ & = g(N-1)n_c G_{\alpha\gamma}(X, X'), \\ & g\{F_{\alpha\gamma}^+(X, X')G_{\beta\beta}(X, X) + G_{\beta\alpha}(X, X)F_{\beta\gamma}^+(X, X')\} \\ & = -g(N-1)n_c F_{\alpha\gamma}^+(X, X'), \end{aligned} \quad (77)$$

and such terms can be absorbed into the chemical potential term, where the chemical potential is replaced by the renormalized

$$\mu + g(N-1)n_c = \mu_R. \quad (78)$$

Therefore, we finally obtain the Gor'kov equations, which are given in Eq. (12).

A.3 Derivation of Dyson–Schwinger equation and renormalized chemical potential for the nonsuperconducting state

We need only to discuss the equation for $\frac{\delta J_\alpha}{\delta \psi_\beta}$, as $\frac{\delta J_\alpha^+}{\delta \psi_\beta^+}$ is just the complex conjugate of $\frac{\delta J_\alpha}{\delta \psi_\beta}$, and $\frac{\delta J_\alpha^+}{\delta \psi_\beta} = \frac{\delta J_\alpha}{\delta \psi_\beta^+} = 0$. We reorganize the equation $\frac{\delta J_\alpha}{\delta \psi_\beta}$ as

$$\begin{aligned} \frac{\delta J_\alpha}{\delta \psi_\beta} &= (\partial_\tau \delta_{\alpha\beta} + K_{\alpha\beta})\delta(X - X') - g\delta(X - X') \\ &\times \{\langle \psi_\alpha(X)\psi_\beta^+(X) \rangle_c - \frac{1}{4}\delta_{\alpha\beta} \sum \langle \psi_\gamma(X)\psi_\gamma^+(X) \rangle_c\} \\ &+ g\delta_{\alpha\beta}\delta(X - X')\{\langle \psi_\beta(X)\psi_\beta^+(X) \rangle_c \\ &- \sum \langle \psi_\gamma(X)\psi_\gamma^+(X) \rangle_c\}. \end{aligned} \quad (79)$$

The last two terms are proportional to $\delta_{\alpha\beta}$ and can be absorbed into the chemical potential:

$$\begin{aligned} \mu + g\delta_{\alpha\beta}\{\sum \langle \psi_\gamma(X)\psi_\gamma^+(X) \rangle_c \\ - \langle \psi_\beta(X)\psi_\beta^+(X) \rangle_c\} = \mu_R. \end{aligned} \quad (80)$$

The gap equation can be recast as

$$\begin{aligned} G^{-1} &= G_0^{-1} + g\delta(X - X')G'(X; X'), \\ G_0^{-1} &= (\partial_\tau \delta_{\alpha\beta} + K_{\alpha\beta})\delta(X - X') \\ G'_{\alpha\beta}(X; X') &= -\{\langle \psi_\alpha(X)\psi_\beta^+(X') \rangle_c \\ &- \frac{1}{4}\delta_{\alpha\beta} \sum \langle \psi_\gamma(X)\psi_\gamma^+(X') \rangle_c\}, \end{aligned} \quad (81)$$

where $G'_{\alpha\beta}$ is the traceless part of $G_{\alpha\beta}$, and the chemical potential in $K_{\alpha\beta}$ is μ_R .

Appendix B. Formula for the energy of the superconducting state

B.1 Gap equation in Nambu notation

Nambu notation is a compact representation of the Gor'kov equations for superconductors:

$$\begin{aligned} \mathcal{G}_{\alpha\beta}(X, X') &= \begin{pmatrix} \frac{\delta \psi_\alpha(X)}{\delta J_\beta(X')} & \frac{\delta \psi_\alpha(X)}{\delta J_\beta^+(X')} \\ \frac{\delta \psi_\alpha^+(X)}{\delta J_\beta(X')} & \frac{\delta \psi_\alpha^+(X)}{\delta J_\beta^+(X')} \end{pmatrix} \\ &= \begin{pmatrix} -G_{\alpha\beta}(x, \tau; x', \tau') & F_{\alpha\beta}(x, \tau; x', \tau') \\ F_{\alpha\beta}^+(x, \tau; x', \tau') & G_{\beta\alpha}(x', \tau'; x, \tau) \end{pmatrix}, \end{aligned} \quad (82)$$

so

$$\begin{pmatrix} \frac{\delta J_\alpha}{\delta \psi_\beta} & \frac{\delta J_\alpha}{\delta \psi_\beta^+} \\ \frac{\delta J_\alpha^+}{\delta \psi_\beta} & \frac{\delta J_\alpha^+}{\delta \psi_\beta^+} \end{pmatrix} = \mathcal{G}_{\alpha\beta}^{-1}. \quad (83)$$

The functional identity is

$$\begin{aligned} \frac{\delta J_\alpha(X)}{\delta \psi_\beta} \frac{\delta \psi_\beta}{\delta J_\gamma(X')} + \frac{\delta J_\alpha(X)}{\delta \psi_\beta^+} \\ \times \frac{\delta \psi_\beta^+}{\delta J_\gamma(X')} = \delta_{\alpha\gamma}(X - X'), \end{aligned} \quad (84)$$

where the abbreviations

$$\begin{aligned} \frac{\delta J_\alpha(X)}{\delta \psi_\beta} \frac{\delta \psi_\beta}{\delta J_\gamma(X')} &\equiv \sum_\beta \int dX'' \frac{\delta J_\alpha(X)}{\delta \psi_\beta(X'')} \frac{\delta \psi_\beta(X'')}{\delta J_\gamma(X')}, \\ \delta_{\alpha\gamma}(X - X') &= \delta_{\alpha\gamma}\delta(X - X') \end{aligned} \quad (85)$$

are used. In the Nambu matrix form, it is

$$\begin{pmatrix} \frac{\delta J_\alpha}{\delta \psi_\beta} & \frac{\delta J_\alpha}{\delta \psi_\beta^+} \\ \frac{\delta J_\alpha^+}{\delta \psi_\beta} & \frac{\delta J_\alpha^+}{\delta \psi_\beta^+} \end{pmatrix} \begin{pmatrix} \frac{\delta \psi_\beta}{\delta J_\gamma} & \frac{\delta \psi_\beta}{\delta J_\gamma^+} \\ \frac{\delta \psi_\beta^+}{\delta J_\gamma} & \frac{\delta \psi_\beta^+}{\delta J_\gamma^+} \end{pmatrix} = \begin{pmatrix} \delta_{\alpha\gamma} & 0 \\ 0 & \delta_{\alpha\gamma} \end{pmatrix}. \quad (86)$$

The derivatives are

$$\begin{aligned} \frac{\delta J_\alpha(X)}{\delta \psi_\beta(X')} &= (\partial_\tau \delta_{\alpha\beta} + K_{\alpha\beta})\delta(X - X'), \\ \frac{\delta J_\alpha(X)}{\delta \psi_\beta^+(X')} &= g\delta(X - X')\langle \psi_\alpha(\tau, \mathbf{r})\psi_\beta(\tau, \mathbf{r}) \rangle_c, \\ \frac{\delta J_\alpha^+(X)}{\delta \psi_\beta(X')} &= g\delta(X - X')\langle \psi_\alpha^+(\tau, \mathbf{r})\psi_\beta^+(\tau, \mathbf{r}) \rangle, \\ \frac{\delta J_\alpha^+(X)}{\delta \psi_\beta^+(X')} &= (\partial_\tau \delta_{\alpha\beta} - K_{\beta\alpha}(-\nabla))\delta(X - X'). \end{aligned} \quad (87)$$

For the non-interacting model,

$$\begin{aligned} \mathcal{G}_0^{-1} &= \begin{pmatrix} (\mathcal{G}_0^{-1})_{11} & 0 \\ 0 & (\mathcal{G}_0^{-1})_{22} \end{pmatrix}, \\ (\mathcal{G}_0^{-1})_{11} &= (\partial_\tau \delta_{\alpha\beta} + K_{\alpha\beta}(\nabla))\delta(x - x', \tau - \tau'), \end{aligned}$$

$$(\mathcal{G}_0^{-1})_{22} = (\partial_\tau \delta_{\alpha\beta} - K_{\beta\alpha}(-\nabla))\delta(x - x', \tau - \tau'), \quad (88)$$

and the gap equation can be cast in the Dyson form:

$$\begin{aligned} \mathcal{G}^{-1} &= \mathcal{G}_0^{-1} + \begin{pmatrix} 0 & \Sigma_{12} \\ \Sigma_{21} & 0 \end{pmatrix}, \\ \Sigma_{12} &= g\delta(x - x', \tau - \tau')\langle\psi_\alpha(\tau, \mathbf{r})\psi_\beta(\tau, \mathbf{r})\rangle_c, \\ \Sigma_{21} &= g\delta(x - x', \tau - \tau')\langle\psi_\alpha^+(\tau, \mathbf{r})\psi_\beta^+(\tau, \mathbf{r})\rangle_c. \end{aligned} \quad (89)$$

B.2 Derivation of energy density expression

The free energy is

$$\Omega[\mathcal{G}] = -\frac{1}{\beta} \left\{ \frac{1}{2} \text{tr} \{ -\ln \mathcal{G} + [\mathcal{G}_0^{-1} \mathcal{G} - 1] \} + \Phi[\mathcal{G}] \right\}, \quad (90)$$

where in the Gaussian approximation,

$$\Phi[\mathcal{G}] = \frac{g}{2} \int_{\tau, r} F_{\alpha\beta}(X; X) F_{\beta\alpha}^+(X; X). \quad (91)$$

The gap equation can also be obtained using $\frac{\delta}{\delta \mathcal{G}} \Omega[\mathcal{G}] = 0$.

The energy difference between the superconducting and normal states can be obtained by differentiating the grand canonical potential with respect to the coupling constant: $\frac{d}{dg} \Omega[\mathcal{G}]$. The Green's function \mathcal{G} depends on g , but because $\frac{\delta}{\delta \mathcal{G}} \Omega[\mathcal{G}, g] = 0$,

$$\begin{aligned} \frac{d}{dg} \Omega[\mathcal{G}, g] &= \frac{\partial}{\partial g} \Omega[\mathcal{G}, g] \\ &= -\frac{1}{2\beta} \int_{\tau, r} F_{\alpha\beta}(X; X) F_{\beta\alpha}^+(X; X). \end{aligned} \quad (92)$$

For the homogeneous state, $F_{\alpha\beta}(X; X)$, $F_{\beta\alpha}^+(X; X)$ are constant (not dependent on x, τ), and we introduce

$$\begin{aligned} F_{\alpha\beta}(X; X) &= \frac{1}{g} \Delta_{\alpha\beta}, \\ F_{\alpha\beta}^+(X; X) &= \frac{1}{g} \Delta_{\alpha\beta}^+ = \frac{1}{g} \Delta_{\beta\alpha}^*. \end{aligned} \quad (93)$$

We obtain the free energy formula [66–68]

$$\begin{aligned} \frac{d}{dg} \Omega[\mathcal{G}] &= -\frac{V}{2} \frac{1}{g^2} \text{tr}(\Delta \Delta^+) \rightarrow \\ d\Omega[\mathcal{G}] &= \frac{V}{2} d\left(\frac{1}{g}\right) \text{tr}(\Delta \Delta^+). \end{aligned} \quad (94)$$

Appendix C. Gap equation and free energy for chiral-symmetry-breaking states

C.1 Derivation of the gap equation

We will discuss the nonsuperconducting state with $G_{\alpha\beta}(X, X) \neq n_c \delta_{\alpha\beta}$, $F_{\alpha\beta}(X; X') = 0$, which appears,

for example, in the chiral-symmetry-breaking state. We need only to discuss the equation for $\frac{\delta J_\alpha}{\delta \psi_\beta}$, as $\frac{\delta J_\alpha^+}{\delta \psi_\beta^+}$ is just the complex conjugate of $\frac{\delta J_\alpha}{\delta \psi_\beta}$, and $\frac{\delta J_\alpha^+}{\delta \psi_\beta} = \frac{\delta J_\alpha}{\delta \psi_\beta^+} = 0$. We reorganize the equation $\frac{\delta J_\alpha}{\delta \psi_\beta}$ as

$$\begin{aligned} \frac{\delta J_\alpha}{\delta \psi_\beta} &= (\partial_\tau \delta_{\alpha\beta} + \hat{K}_{\alpha\beta})\delta(X - X') - g\delta(X - X') \\ &\quad \times \{ \langle \psi_\alpha(X) \psi_\beta^+(X) \rangle_c - \frac{1}{4} \delta_{\alpha\beta} \\ &\quad \times \sum \langle \psi_\gamma(X) \psi_\gamma^+(X) \rangle_c \} + g\delta_{\alpha\beta} \delta(X - X') \\ &\quad \times \{ \langle \psi_\beta(X) \psi_\beta^+(X) \rangle_c - \sum \langle \psi_\gamma(X) \psi_\gamma^+(X) \rangle_c \}. \end{aligned} \quad (95)$$

The last two terms are proportional to $\delta_{\alpha\beta}$ and can be absorbed into the chemical potential:

$$\begin{aligned} \mu + g\delta_{\alpha\beta} \{ \sum \langle \psi_\gamma(X) \psi_\gamma^+(X) \rangle_c \\ - \langle \psi_\beta(X) \psi_\beta^+(X) \rangle_c \} = \mu_R. \end{aligned} \quad (96)$$

The gap equation can be recast as

$$\begin{aligned} G^{-1} &= G_0^{-1} + g\delta(X - X') G'(X; X'), \\ G_0^{-1} &= (\partial_\tau \delta_{\alpha\beta} + \hat{K}_{\alpha\beta})\delta\delta(X - X'), \\ G'_{\alpha\beta}(X; X') &= -\{ \langle \psi_\alpha(X) \psi_\beta^+(X') \rangle_c \\ &\quad - \frac{1}{4} \delta_{\alpha\beta} \sum \langle \psi_\gamma(X) \psi_\gamma^+(X') \rangle_c \}, \end{aligned} \quad (97)$$

where $G'_{\alpha\beta}$ is the traceless part of $G_{\alpha\beta}$, and the chemical potential in $\hat{K}_{\alpha\beta}$ is μ_R . The free energy is now

$$\Omega[\mathcal{G}] = -(\beta)^{-1} \{ \text{tr} \{ -\ln G + [G_0^{-1} G - 1] \} + \Phi[G] \}, \quad (98)$$

where

$$\Phi[\mathcal{G}] = -\frac{g}{2} \int_{\tau, r} G'_{\alpha\beta}(x, \tau; x, \tau) G'_{\beta\alpha}(x, \tau; x, \tau). \quad (99)$$

A similar free energy equation can be obtained:

$$\begin{aligned} \frac{d}{dg} \Omega[\mathcal{G}, g] &= \frac{\partial}{\partial g} \Omega[\mathcal{G}, g] \\ &= \frac{1}{2\beta} \int_{\tau, r} G'_{\alpha\beta}(x, \tau; x, \tau) G'_{\beta\alpha}(x, \tau; x, \tau). \end{aligned} \quad (100)$$

C.2 Details of the condensate and energy calculation

By using the integral

$$\begin{aligned} \int_\omega \frac{1}{m^2 + (\hbar v_F k)^2 - (\mu + i\omega)^2} \\ = \frac{\pi}{\sqrt{m^2 + (\hbar v_F k)^2}} \Theta(\sqrt{m^2 + (\hbar v_F k)^2} - \mu), \end{aligned} \quad (101)$$

and

$$\begin{aligned}
& \frac{1}{(2\pi)^3} \int_{\omega,p} \frac{1}{m^2 + p^2 - (\mu + i\omega)^2} \\
&= \frac{1}{4\pi} \int_{p=0}^{\Lambda} \frac{p\Theta(\sqrt{m^2 + p^2} - \mu)}{\sqrt{m^2 + p^2}} \\
&= \begin{cases} \frac{1}{4\pi}(\sqrt{m^2 + \Lambda^2} - m), & \mu \leq m \\ \frac{1}{4\pi}(\sqrt{m^2 + \Lambda^2} - \mu), & \mu > m \end{cases} \quad (102)
\end{aligned}$$

the gap equation is obtained as

$$m = -\frac{g}{4\pi(\hbar v_F)^2} \begin{cases} (\sqrt{m^2 + \Lambda^2} - m), & \mu \leq m; \\ (\sqrt{m^2 + \Lambda^2} - \mu), & \mu > m. \end{cases} \quad (103)$$

Appendix D. Symmetries of the Dirac model: Chiral nonrelativistic $SU(2)$

The charge algebra is generally $[Q, Q_i] = 0; [Q_i, Q_j] = i\varepsilon_{ijk}Q_k$. Indeed, all three chiral charges commute with the density

$$\begin{aligned}
[\rho(x), Q_i] &= \int_y [\psi^\dagger(x)\psi(x), \psi^\dagger(y)T_i\psi(y)] \\
&= \psi^\dagger(x)[I, T_i]\psi(x) = 0 \quad (104)
\end{aligned}$$

and the kinetic term

$$\begin{aligned}
[H, Q_i] &= \int_y [\psi^\dagger(x)(\alpha_1 p_1 + \alpha_2 p_2)\psi(x), \psi^\dagger(y)T_i\psi(y)] \\
&= \psi^\dagger(x)[\alpha_1 p_1 + \alpha_2 p_2, T_i]\psi(x) = 0. \quad (105)
\end{aligned}$$

The three generator matrices [Eq. (27)] constituting $SU(2)$, $[T_i, T_j] = i\varepsilon_{ijk}T_k$, commute with both $\gamma_0\gamma_1$ and $\gamma_0\gamma_2$, which appear in the noninteracting Hamiltonian [Eq. (1)]. The density–density interaction component of the Hamiltonian also commutes with the $SU(2)$ charges because

$$\begin{aligned}
[\rho(r), Q_i] &= \int_{r'} [\psi^\dagger(r)\psi(r), \psi^\dagger(r')T_i\psi(r')] \\
&= \psi^\dagger(r)[I, T_i]\psi(r) = 0. \quad (106)
\end{aligned}$$

Correspondingly, the action in Eq. (22) is invariant under $\delta\psi = iT_i\psi$; $\delta\bar{\psi} = i\bar{\psi}\gamma_0 T_i \gamma_0$. Indeed, both the energy term,

$$\begin{aligned}
\delta A_0 &= - \int [i\bar{\psi}\gamma_0 T_i \gamma_0 \partial_0 \psi + \bar{\psi}^s \gamma_0 \partial_0 iT_i \psi] \\
&= - \int [-i\bar{\psi}\gamma_0 T_i \partial_0 \psi^s + \bar{\psi}^s \gamma_0 \partial_0 iT_i \psi^s] = 0, \quad (107)
\end{aligned}$$

and the momentum terms,

$$\begin{aligned}
\delta A_1 &= - \int [i\bar{\psi}\gamma_0 T_i \gamma_0 (\gamma \cdot \partial)\psi^s + \bar{\psi}(\gamma \cdot \partial)iT_i \psi] \\
&= -i \int \bar{\psi}[\gamma_0 T_i \gamma_0 \gamma + \gamma T_i] \cdot \partial \psi = 0, \quad (108)
\end{aligned}$$

are invariant. The nonrelativistic interaction term is

$$\begin{aligned}
\delta A &= v \int [i\bar{\psi}\gamma_0 T_i \gamma_0 \psi + \bar{\psi}\gamma_0 iT_i \psi](\bar{\psi}^r \gamma_0 \psi^r) \\
&= iv \int [-\bar{\psi}\gamma_0 T_i \psi + \bar{\psi}\gamma_0 T_i \psi](\bar{\psi}^r \gamma_0 \psi^r) = 0. \quad (109)
\end{aligned}$$

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